



Gp2715

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Takahiro NAGAI et al. : Docket No. 2000-0557A

Serial No. 09/560,563 : Group Art Unit 2715 (2615)

Filed April 28, 2000

OPTICAL DISK, OPTICAL DISK
RECORDING AND REPRODUCING
APPARATUS, METHOD FOR RECORDING,
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. .

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

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1b. This Information Disclosure Statement is submitted
after the events of above paragraph 1a and prior to the mailing date of a final Office
Action or a Notice of Allowance or an action which otherwise closes prosecution in the
application, and thus:

- (1) the certification of paragraph 2 below is provided, **or**
- (2) the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. This Information Disclosure Statement is submitted:
after the mailing date of a final Office Action or Notice of Allowance or action which
otherwise closes prosecution in the application, and prior to payment of the issue fee, and
thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified
- a. that each item of information contained in this Information Disclosure Statement
was first cited in any communication from a foreign patent office in a counterpart
foreign application not more than three months prior to the filing of the
Statement, or
 - b. that no item of information contained in the Information Disclosure Statement
was cited in a communication from a foreign patent office in a counterpart
foreign application and, to the knowledge of the person signing the certification
after making reasonable inquiry, was known to any individual designated in
§1.56(c) more than three months prior to the filing of the Statement.
3. Consideration of the following list of additional information (including any copending or
abandoned U.S. application, prior uses and/or sales, etc.) is requested.

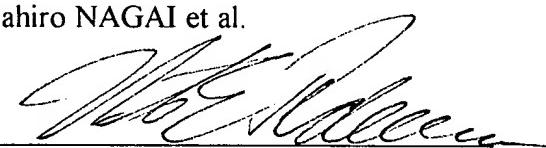
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. [] a full or partial English language translation submitted herewith,
 - b. [] a foreign patent office search report (in the English language) submitted herewith,
 - c. [] the concise explanation contained in the specification of the present application at page,
 - d. [] the concise explanation set forth in the attached English language abstract,
 - e. [] the concise explanation set forth below or on a separate sheet attached to the reference:

5. [X] A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Takahiro NAGAI et al.

By



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October 26, 2001

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sheet 1 of 1							
FORM PTO 1449 (modified)				ATTY DOCKET NO. 2000-0557A		SERIAL NO. 09/560,563	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				APPLICANT Takahiro NAGAI et al.			
LIST OF REFERENCES CITED BY APPLICANT(S) <i>(Use several sheets if necessary)</i>				FILING DATE April 28, 2000		GROUP 2715	
Date Submitted to PTO: October 26, 2001							
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,596,639	1/1997	Kikinis			
<i>CT 26 OCT 2001</i>	AB	5,752,009	5/1998	Nakahara et al.			
<i>PATENT & TRADEMARK</i>	AC	5,646,993	7/1997	Aizawa			
	AD	5,513,169	4/1996	Fite et al.			
	AE	5,745,568	4/1998	O'Connor et al.			
	AF						
	AG						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AH	98/50368	12/1998	WO			
	AI	0802527	10/1997	EP			
	AJ	0442566	8/1991	EP			
	AK	2332977	7/1999	GB			
	AL	00/21087	4/2000	WO			
	AM	0984346	3/2000	EP			
	AN	0954173	11/1999	EP			
OTHER DOCUMENT(S) <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
	AO	PATENT ABSTRACTS OF JAPAN, vol. 1997, no. 10, October 31, 1997 & JP 09 171619 A (SONY CORP), June 30, 1997					
	AP	PATENT ABSTRACTS OF JAPAN, vol. 2000, no. 07, September 29, 2000 & JP 2000 113586 A (VICTOR CO OF JAPAN LTD), April 21, 2000.					
	AQ						
EXAMINER				DATE CONSIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.